

Studies Reveal Suggestibility Of Very Young as Witnesses

By DANIEL GOLEMAN
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The testimony of small children has usually been considered truthful unless proved otherwise. Over the past decade such testimony has led to convictions in many child-abuse cases, and the younger the child, the less likely psychologists have thought it was that information could have been fabricated. But now a series of recent studies has turned this conventional wisdom on its head.

Researchers have found new evidence that persistent questioning can lead young children to describe elaborate accounts of events that never occurred, even when at first they denied them.

The research is at the center of a continuing scientific debate over the vexing question of how much judges and juries should rely on a child's word when that is the only evidence of abuse.

While earlier research raised questions about the reliability of small children's accounts of sexual abuse, the new experimental studies are the first ones based on the methods commonly used to question children in legal cases.

These methods are used by investigators in cases like that of Margaret Kelly Michaels, the preschool teacher whose 1988 conviction on 115 counts of sexually abusing 19 children was overturned in March by a New Jersey appeals court. The charges against Ms. Michaels were based solely on assertions made by the 3- to 5-year-old children after they were extensively interviewed by investigators.

"Many people who specialize in these cases have a preconceived notion of what happened, and in the course of questioning suggest it to the child, who then report it as though it were true," said Dr. Maggie Bruck, a psychologist at McGill University. She and Dr. Stephen Ceci, a psychologist at Cornell University, published a review of scientific studies of children's suggestibility in the current issue of Psychological Bulletin.

Some researchers fear that the new findings will be used to muzzle investigators, leaving them unable to get children to report sexual abuse when it has actually occurred.

"It may take a certain amount of leading questioning to get a sexually abused child to disclose it," said Dr. Gail Goodman, a psychologist at the University of California at Davis who was co-editor of "Child Victims, Child Witnesses: Understanding and Improving Testimony," published last year by Guilford Press.

An estimated 20,000 children testify in sexual-abuse trials each year, and as many as 100,000 are involved in investigations, many of which never go to trial.











The new research focuses on children 6 years old and younger. A recent study of nearly 800 children identified as probable victims of sexual abuse in New York State found that close to 40 percent were in this age group. Children Concoct Stories

Certain techniques often used by investigators with young children increase the likelihood of false reports, the findings show. One is persistent, repeated questioning over periods of several weeks. When sexual abuse is suspected, children are typically asked the same

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questions by case workers, police investigators and lawyers, as well as parents, before they testify in court.

But that repetition may lead some young children to concoct stories, according to results of a study by Dr. Ceci and colleagues reported last month at a meeting on emotional memory at the University of Chicago.

In the study of children from 4 to 6, parents helped researchers make a list of two events that had occurred in each child's life and eight that had not. In weekly sessions, the researchers reviewed the list with the child, asking for each event, "Has this ever happened to you?"

One 4-year-old boy, for example, answered truthfully, "No, I've never been to the hospital," the first time he was asked if he had ever gone to the hospital because his finger had got caught in a mousetrap.

But the next week, in response to the same question, he volunteered, "Yes. I cried." And by the 11th week of questioning, he was offering an elaborate tale about his brother's pushing him into the mousetrap, near where his father was getting firewood.

Such accounts were common. By the 11th week, 56 percent of children reported at least one false event as true, and some children reported all the false events as true, Dr. Ceci said.

"The more often you ask young children to think about something, the easier it becomes for them to make something up that they think is a memory," he said.

What is more, the accounts of those false memories are often quite believable. Dr. Ceci has shown videotapes of children recounting both true and false "memories" to more than 1,000 professionals who specialize in cases of child abuse, including lawyers, social workers and psychiatrists. Use of Dolls Criticized

"The experts are correct about whether the child's account is accurate about one-third of the time," Dr. Ceci said. "That's worse than chance."

Anatomically detailed dolls, which are often used in investigations of child abuse, have also been called into question by recent research findings. At the University of Chicago meeting, Dr. Ceci and his colleagues reported preliminary results from a study in which they questioned 3-year-olds who had just been given a physical examination. Half the exams included a standard inspection of the child's genitals, half did not. Of those children who received no genital exam, 38 percent answered, "yes," when a researcher pointed to the doll's genitals and asked, "Did he touch you here?"

When the question was posed in a leading fashion, using the child's own word for genitals, 70 percent of children who had received no genital exam indicated incorrectly that the doctor had touched their genitals. Skewing of Memories

The children are not being intentionally misleading, Dr. Ceci said, but are simply very poor at recalling and explaining what happened.

That uncertainty may partly explain why adults who have a fixed idea of what has happened to a child can often get the child to agree. In another study by Dr. Ceci and his colleagues, interviewers were misled about details of a game played by preschool children. The interviewers eventually got a third of 3- and 4-year-olds and 18 percent of 5- and 6-year-olds to corroborate at least one of the things the interviewers mistakenly believed had happened.

The very stressfulness of an event like sexual abuse can make children give false reports. "If the original situation was very stressful, it can narrow a child's perception so that later memories won't be as accurate," said Dr. Douglas Peters, a psychologist at the University of North Dakota, whose research shows that distressing situations increase the mistakes children make in recounting them.

Earlier studies of young children's suggestibility led to the conclusion that they could be swayed only about minor details, not the main facts of what had happened to them. But his review of the recent data has led Dr. Ceci to a different conclusion. Changes Recommended

"We find nothing in a child's memory is impervious to being tainted by an adult's repeated suggestions," Dr. Ceci said. "We find from reading transcripts of investigations that in many sex-abuse cases the adults not only pursued a hypothesis about what happened, but were sometimes even coercive in getting children to agree. They went far beyond anything we could do ethically as researchers."

Dr. Ceci and Dr. Bruck, in an article to appear in the fall in a report by the Society for Research in Child Development, recommend that people investigating possible cases of child abuse change their procedures, for example, by avoiding repeated suggestions or putting much emphasis on evidence from anatomical dolls.

"The bottom line is that even very young children can give accurate accounts if the interviewers haven't usurped their memory through repeated suggestive or leading questions," Dr. Ceci said. "Interviewers ought to safeguard against this by testing at least one alternative plausible hypothesis about what happened. If they fail to do this, they're in danger of confirming their own bias by unduly influencing and distorting the child's memory."

But other researchers feel that the cautions are misplaced. "Research that focuses only on children's suggestibility gives only part of the picture," Dr. Goodman said. Sexually abused children are often "very reluctant to admit it," she said, adding, "If your recommendations are based only on avoiding suggesting things to children, it runs the risk of tipping the balance too far, so you get many fewer disclosures of abuse." A Sense of Urgency

Citing a study in which 43 percent of young children diagnosed with sexually transmitted diseases denied having been sexually abused, Dr. Lucy Berliner, director of research at the Harborview Sexual Assault Center in Seattle, said: "Sometimes your sense of urgency with kids you know are victims makes you feel you need to do whatever is necessary to have a child tell what happened. If that leads to a coercive approach, it's motivated by concern."

Dr. Berliner added: "If you've ever tried to interview a 4-year-old about a sensitive topic, you'll see it's rarely productive just to ask, 'Is there anything you want to tell me about?' That's what police or caseworkers face. They argue that we'll never find out anything if we can't ask specific questions."

Photo: A study by Dr. Stephen Ceci, a psychologist at Cornell University, found that repetition may lead some young children to concoct stories. He is shown in front of a two-way mirror where he interviews patients. (Peter Freed) (pg. A23) Chart: "How the Questions Become the Answers" Excerpts from interviews of a 4-year-old boy to whom the interviewer said each week for 11 consecutive weeks: "You went to the hospital because your finger got caught in a mousetrap. Did this ever happen to you?" FIRST INTERVIEW: "No. I've never been to the hospital." SECOND INTERVIEW: "Yes. I cried." THIRD INTERVIEW: "Yes. My mom went to the hospital with me." FOURTH INTERVIEW: "Yes, I remember. It felt like a cut." FIFTH INTERVIEW: "Yes." [Pointing to index finger.] ELEVENTH INTERVIEW: "Uh huh. . . . My daddy, mommy and my brother [took me to the hospital] in our van . . . The hospital gave me . . . a little bandage, and it was right here." [Pointing to index finger.] The interviewer then asked, "How did it happen?" "I was looking and then I didn't see what I was doing and it [finger] got in there somehow . . . The mousetrap was in our house because there's a mouse in our house . . . The mousetrap is down in the basement, next to the firewood. . . . I was playing a game called 'Operation' and then I went downstairs and said to Dad, 'I want to eat lunch,' and then it got stuck in the mousetrap. . . . My daddy was down in the basement collecting firewood. . . . [My brother] pushed me [into the mousetrap.] . . . It happened yesterday. The mouse was in my house yesterday. I caught my finger in it yesterday. I went to the hospital yesterday." (Source: Dr. Stephen J. Ceci/Cornell) (pg. A23)

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